JCO3 REGIOPOT/PTO 27 OCT 2009

# TRANSMITTAL LET TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

Attorn Cket No. 380-P03628US0

U.S. Application No.(If Known) 10/536,923

Express Mail Label No.:

EL997339672US

Int'l Application No. PCT/GB2003/005322

Int'l Filing Date

5 December 2003

Priority Date Claimed 5 December 2002

TITLE OF INVENTION

BIOREMEDIATION WITH TRANSGENIC PLANTS

Applicant(s) for DO/EO/US Alexander Pavlovich SOROKIN; Galina Genadievna BRYCHKOVA; Nikolai Alexandrovich KARTEL; Jonathan Dallas George JONES

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [ ]This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. [X ]This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [ ]The US has been elected (Article 31).
- 5. [ ]A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] is attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] has been communicated by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [ ] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [] are attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] have been communicated by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [] have not been made and will not be made.
- 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. [X ] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [ ] An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### Items 11 to 20 below concern document(s) or information included:

- 11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [ ] A FIRST preliminary amendment.
- 14. [ ] A SECOND or SUBSEQUENT preliminary amendment.
- 15. [ ] A substitute specification.
- 16. [ ] A change of power of attorney and/or address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 1.825.
- 18. 1 A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information: Copy of Notification of Missing Requirements; Return Postcard

U.S. Application No. 10/536,923		International Application No. PCT/GB2003/005322			•	Docket No. 0380-P03628US0
The following fees 21. [ ] BASIC NAT		• • • •		\$300		
22. [ ] Examinatio If international prelimir satisfy provis All other situations	nary examination repo ions of PCT Article 33	(1)-(4	4)	\$100		
23. [ ] Search Fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as a International Searching Authority. \$100 International Search Report prepared by International Search Authority other than USPTO, but provided to Office by Applicant(s) \$400 All other situations \$500 Total of 21, 22, 23						
-100 =	sting or computer pro a Sheets No. of 6 /50 =	gram ach a	n filed in an electronic add'l 50 (round up to v x \$250	medium). vhole number)		
Surcharge of \$130.00 the earliest claimed pr		n or d	declaration later than 3	0 months from		
Claims	Number Filed	Nur	mber Extra	Rate		
Total Claims	-20		-	50	<u> </u>	
Independent Claims	-3	=		200		
MULTIPLE DEPE	NDENT CLAIM(S)	(if a	applicable)	+ \$360		
	TC	TAI	L OF ABOVE CAL	CULATIONS		
[ ] Applicant claim The fees indicated	s small entity stat above are reduc	us. ed b	See 37 CFR 1.27. by 1/2			
			S	UBTOTAL =		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f))					\$130	
			TOTAL NATION	ONAL FEE =		
Fee for recording t assignment must t (37 CFR 3.28, 3.3	oe accompanied b	y ar	ent (37 CFR 1.21( n appropriate cove	h)). The r sheet		
1/01/2005 LLANDGRA 000	00007 10536923		TOTAL FEES E	NCLOSED =	\$130	
b. [ ] Please s A duplic c. [X ] The Com	urcharge Deposit ate copy of this sh nmissioner is here	Accordent Accord	authorized to charg	in the amount of the same in t	of \$ al fees \	to cover the above fees.  which may be required, or credit fithis sheet is enclosed.
SEND ALL COR below.  Author  Kathleen D. Rig Registration No	NRSAUT Ph.D.J.D.	E TC	D: CUSTOMER NU	JMBER 00011	0 to the	attention of the individual listed

DANN DORFMAN HERRELL AND SKILLMAN 1601 Market Street, Suite 2400 Philadelphia, Pennsylvania 19103



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COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450	DATE OF MAILING 27 October 2005					
Mail Stop: PCT	APPLICANT'S OR AGENT'S FILE REF. 0380-P03628US0					
IDENTIFICATION OF THE INTERNATIONAL APPLICATION						
U.S. Application No. 10/536,923 ['371 of PCT/GB2003/005322]	INTERNATIONAL FILING DATE 05 December 2003					
APPLICANT (name) Alexander Pavlovich Sorokin, et al.						
TRANSMITTAL OF LETTER TO THE UNITED DESIGNATED/ELECTED OFFICE (DO/EO/US						

#### CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 C.F.R. §1.10

NO. OF EXPRESS MAIL LABEL EL997339672US

DATE OF DEPOSIT WITH POSTAL SERVICE October 27, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to Mail Stop PCT, COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450

Jane C. Bogan Name of Person Mailing Paper or Fee Signature of Person Mailing Paper or Fee



#### United States Patent and Trademark Office

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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/536,923

Alexander Pavlovich Sorokin

0380-P03628US0

INTERNATIONAL APPLICATION NO.

PCT/GB03/05322

110 DANN, DORFMAN, HERRELL & SKILLMAN 1601 MARKET STREET SUITE 2400 PHILADELPHIA, PA 19103-2307 I.A. FILING DATE

PRIORITY DATE

12/05/2003

12/05/2002

CONFIRMATION NO. 6599
371 FORMALITIES LETTER

\*OC000000017072266\*

Date Mailed: 10/06/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/31/2005
- Copy of the International Search Report filed on 05/31/2005
- Preliminary Amendments filed on 05/31/2005
- U.S. Basic National Fees filed on 05/31/2005
- Priority Documents filed on 05/31/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
  missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,

### WHICHEVER IS LATER. FAMILIE TO PROPERLY RESPOND WILL RESUMEN ABANDONMENT.

- The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).
- Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/536.923	PCT/GB03/05322	0380-P03628US0

FORM PCT/DO/EO/905 (371 Formalities Notice)